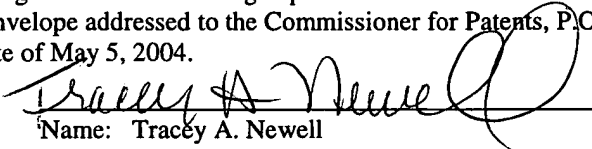


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Yoshitaka YOKOYAMA :  
Serial No.: 09/804,499 : Art Unit: 2815  
Filed: March 12, 2001 : Examiner: NGUYEN, Joseph H.  
For: **WAVELENGTH STABILIZED** : Atty. Docket: NIM-01301  
**LASER MODULE**

**Certificate of Mailing**

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date of May 5, 2004.

  
Name: Tracey A. Newell

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

05/10/2004 STEUHEL1 00000012 09804499  
01 FC:1806 180.00 0P

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted.

Each item cited in this Information Disclosure Statement (IDS) was cited in a Japanese Office Action, dated February 17, 2004 (copy enclosed). Since this IDS is being filed within three months of that date and prior to payment of the issue fee, Applicant submits the required fee of \$180.00.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. A copy of the official action is enclosed. The relevance to the pending U.S. patent

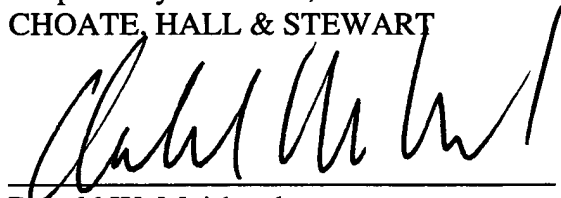
application is that the references were cited in a foreign patent application corresponding to the above-captioned U.S. patent application. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 031721**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-4038.

Respectfully submitted,  
CHOATE, HALL & STEWART



Donald W. Muirhead  
Registration No. 33,978

May 5, 2004

Date

Patent Group  
Choate, Hall & Stewart  
Exchange Place  
53 State Street  
Boston, Massachusetts 02109



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/804,499
				Filing Date	March 12, 2001
				First Named Inventor	Yoshitaka YOKOYAMA
				Art Unit	2815
Sheet	1	of	2	Examiner Name	NGUYEN, Joseph H.
				Attorney Docket Number	NIM-01301

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-5,216,237	06-01-1993	Simon Ritchie, et al.	
		US-			
		US-			

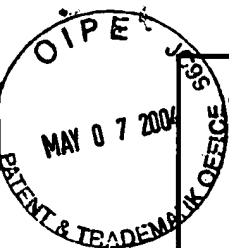
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appeal	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		2000-12968	01-14-2000	Japan		<input type="checkbox"/>
		2000-22259	01-21-2000	Japan		<input type="checkbox"/>
		6-281812	10-07-1994	Japan		<input type="checkbox"/>
		6-326382	11-25-1994	Japan		<input type="checkbox"/>
		2-77630	03-16-1990	Japan		<input type="checkbox"/>
		5-243664	09-21-1993	Japan		<input type="checkbox"/>
		56-55087	05-15-1981	Japan		<input type="checkbox"/>
		2001-291928	10-19-2001	Japan		<input type="checkbox"/>
		11-122176	04-30-1999	Japan		<input type="checkbox"/>
		11-354887	12-24-1999	Japan		<input type="checkbox"/>
		10-79551	03-24-1998	Japan		<input type="checkbox"/>
		62-244184	10-24-1987	Japan		<input type="checkbox"/>
		2001-524762	12-04-2001	PCT		<input type="checkbox"/>
		2-254777	10-15-1990	Japan		<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	2	of	2	Attorney Docket Number	NIM-01301
-------	---	----	---	------------------------	-----------

**Complete if Known**

Application Number	09/804,499
Filing Date	March 12, 2001
First Named Inventor	Yoshitaka YOKOYAMA
Art Unit	2815
Examiner Name	NGUYEN, Joseph H.
Attorney Docket Number	NIM-01301

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Microwave and Optical Technology Letters; Vol. 20, No. 3, February 5, 1999, p. 190-192.	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.